



**The President's National Security  
Telecommunications Advisory Committee**

## **R&D Exchange Human Factors Breakout Session**

**Mr. Michael Vatis, Dartmouth University  
Ms. Marisa Reddy, Secret Service**

**March 14, 2003**

---



## *Human Factors: Issues of Interest*

- **Prevention: minimizing risk of inadvertent failures and malicious acts**
  - Training and policy development, dissemination, and enforcement
  - Technology solutions (e.g., “secure by default,” security templates)
  - Human responses to technical information (e.g., alerts)
  - Anomaly detection
  - Psychology/motivations of insiders
- **Cultural shift**
  - Corporate governance (e.g., accountability, enforcement from top to bottom)
  - Public awareness and education (embracing security from the bottom up)
- **Source of Supply: minimizing risk, given growing dependence of U.S. on COTS**
  - Code checking technologies
  - Self-healing (or self-correcting) technologies
  - Background checks (of individuals and/or companies)



## *Human Factors: Impediments*

- **Assumptions about balancing security with ...**
  - Privacy and other policy concerns
  - Good technology
  - Ease of use
- **Identifying key business drivers**
- **Articulating/quantifying value of security**
  - “No matter the sophistication of the technology or its simplicity of use, they create an additional burden (investment or maintenance costs)”
- **Addressing legal, definitional, and cultural issues**
  - Creating an environment where industry and government share data, report crimes
  - What is the definition of NS/EP in today’s context?



## *Human Factors: Most Pressing Research Areas*

- **Making Security Easier**
  - Leverage knowledge from other disciplines to minimize biases and risks related to information security
  - Enhance decision making under uncertainty
  - Reduce impact of human factors (e.g., number of humans interfacing with key systems) by making security transparent
- **Anomaly Detection**
  - Research automated tools/techniques to detect anomalies (physical access and cyber) across an entire enterprise
  - Enhance tools to better visualize/refine the outputs from detection system
- **Education, Training, and Awareness**
  - Educate, train, and increase awareness of security issues (e.g., market research for different demographics)



## *Human Factors: Most Pressing Research Areas*

- **“Insider Threat” Research**
  - Investigate true prevalence of insider incidents
  - Research cultural, psychological, technical, and organizational factors that motivate and deter insiders
  - Research tools and techniques to better combat insider threat
  - Translate insider threat research (existing/ongoing) into useful techniques and policies
- **Supply Source**
  - Explore avenues for distributing tasks for checking source code (possible coordination through Centers of Excellence)
  - Validate distribution processes
  - Prioritize what code needs to be checked



## *Human Factors: Out of the Box Thinking*

- **Explore paradigm-shifting research in other sectors (e.g., health care, weather forecasting) that might offer new insights into information security**
- **Research useable, cost effective, and interoperable multi-layer technologies for authentication and authorization**
- **Research ways to identify suppliers whose products may pose a threat to NS/EP information systems**
- **Create a market for security (e.g., tax incentives, certification of companies as secure, public filing)**
- **Research on offensive tactics and strategies for information security**